

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.: 05015.0375U3		
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor: John S. Debenham		
		Title: Disaccharide and Trisaccharide C ₆ -C ₁₂ Fatty Acid Esters with High Alpha Content		
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility application contents		Express Mail Label No.: EL 992019699 US		
		ADDRESS TO: MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450		
1. <input checked="" type="checkbox"/> Patent Application [Total Pages 35] Includes: cover page (1 page), description (30 pages), claims (3 pages), and abstract (1 page)		6. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large tables or Computer Program (Appendix)		
2. <input checked="" type="checkbox"/> Formal Drawings [Total Sheets 6]				
3. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 4] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20B completed)				
4. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 [Total Pages ____]				
5. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) -OR- <input type="checkbox"/> Statement under 37 CFR 1.821(e) (see Box 21 for statement) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper [Total Pages ____] c. <input type="checkbox"/> The contents of the computer readable form of the sequence listing and the sequence listing in the specification of the application are the same.				
ACCOMPANYING APPLICATION PARTS:				
7. <input type="checkbox"/> Copy of Assignment				
8. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)				
9. <input checked="" type="checkbox"/> Power of Attorney				
10. <input type="checkbox"/> English Translation Document (if applicable)				
11. <input checked="" type="checkbox"/> Information Disclosure Statement/PTO-1449 <input type="checkbox"/> Copies of IDS Citations [Total Citations ____]				
12. <input type="checkbox"/> Preliminary Amendment				
13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)				
14. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i) (Must attach form PTO/SB/35 or equivalent).				
15. <input checked="" type="checkbox"/> Authorization to Treat Reply Requiring Extension of Time as Incorporating Petition for Extension of Time				
16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)				
17. <input type="checkbox"/> Other: _____				
18. The FILING FEE is calculated as follows: (Claims as filed, less any claims cancelled by Amendment)				
Claims	Number Filed	Number Extra	Rate	\$770.00 (Basic Fee)
Total claims	20	0	X \$18.00	\$
Independent claims	3	0	X \$84.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 280.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees are reduced by 1/2.				\$
TOTAL FILING FEE =				\$770.00
19. Payment: <input type="checkbox"/> A check in the amount of \$_____ is enclosed. <input checked="" type="checkbox"/> Credit Card Payment Form PTO-2038 Authorizing Payment in the amount of \$770.00 is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional amount due or credit any overpayment to Deposit Account No. 14-0629.				

20A. ☐ This application is an original application (not a continuation, divisional or continuation-in-part).

20B. ☒ This application is a ☐ continuation-in-part / ☒ continuation / ☐ divisional of prior Application No. 09/933,409 filed August 20, 2001.
The prior application was assigned to Examiner G. Krishnan, Group Art Unit 1623.
The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.

☒ This application is a continuation or divisional application (not a continuation-in-part) that:

- (i) names as inventors the same or fewer than all the inventors named in the prior application; and
- (ii) contains no matter that would have been new matter in the prior application; and
- (iii) a copy of the executed oath or declaration in the prior application, showing the signature or an indication thereon that it was signed:
 - ☒ is enclosed.
 - ☐ will follow.

☐ This application is a continuation or divisional application (not a continuation-in-part) that is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. §1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person who are not inventors of the invention being claimed in this application:

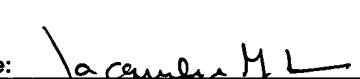
☐ This application is a continuation-in-part, continuation or divisional application naming an inventor not named in the prior application. A newly executed oath or declaration:

- ☐ is enclosed.
- ☐ will follow.

21. ☐ A sequence listing in computer readable form in compliance with 37 C.F.R. §§ 1.821-1.825 was submitted on _____ in prior Application No. _____, filed _____. Pursuant to 37 C.F.R. § 1.821(e), applicant hereby requests that the computer readable form of the sequence listing submitted in the above-referenced prior application be used as the computer readable form of the sequence listing for the new application. The paper or compact disk copy of the Sequence Listing in the new application is identical to the computer readable copy filed in the prior application.

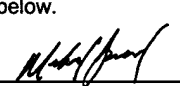
22. ☐ This application claims foreign priority benefits under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) listed below, or under 35 USC 365(a) or 365(b) of any PCT application listed below.
Application No. _____ Country: _____ Day/Month/Year Filed: _____

23. Address all correspondence to: CUSTOMER NO. 23859

Name of Attorney/Agent: Jacqueline M. Hutter	Registration No.: 44,792
Signature: 	Date: 10/27/03

Certificate of Express Mailing Under 37 C.F.R. § 1.10

I hereby certify that this correspondence, including any items indicated as attached or included, is being deposited with the United States Postal Service as Express Mail, Label No. EL 992019699 US, in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date indicated below.

 _____

Michael Laird

10/27/03

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)		
)		
DEBENHAM, <i>ET AL.</i>)		
)	Art Unit:	Unassigned
Application No.: Rule 1.53(b) Continuation of)		
Application No. 09/933,409)	Confirmation No.	Unassigned
Filed August 20, 2001)		
)	Examiner:	Unassigned
Filing Date: October 27, 2003)		
)		
For: "DISACCHARIDE AND TRISACCHARIDE)		
C ₆ -C ₁₂ FATTY ACID ESTERS WITH HIGH)		
ALPHA CONTENT")		

AUTHORIZATION TO TREAT REPLY REQUIRING EXTENSION OF TIME
AS INCORPORATING PETITION FOR EXTENSION OF TIME

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C.
Customer Number 23859
October 27, 2003

Sir:

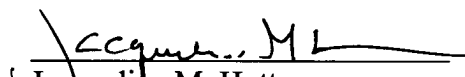
Pursuant to 37 C.F.R. § 1.136(a)(3), the Commissioner is hereby requested and authorized to treat any concurrent or future reply in the above-identified application, requiring a petition for an extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

ATTORNEY DOCKET NO. 05015.0375U3
Application No. Rule 1.53(b)
Continuation of Application No. 09/933,409
Filed August 20, 2001

Submitted herewith is credit card payment form 2038, authorizing payment in the amount of \$770.00 for the utility filing fee. No further fee is believed due; however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

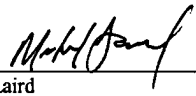
NEEDLE & ROSENBERG, P.C.

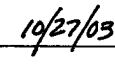

Jacqueline M. Hutter
Registration No. 44,792

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Customer Number 23859
(404) 688-0770 (Telephone)
(404) 688-9880 (Facsimile)

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL992019699US in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.


Michael Laird


Date